

UTMA/UGMA Asset Transfer Request

- Check here if you entered the request using the Asset Movement function in NetX360™
- Check here if you would like to enter this request as a Standing Instruction

Instructions

- This form is used to move assets from a UTMA/UGMA/Custodial account. This form may not be used by any other type of account, and will be rejected.
- Complete sections 1 and 2, and then complete section 3, 4, 5, 6, or 7 as appropriate. Section 8 applies to checks being sent via overnight mail, as well as all fed fund wire transfers. If no selection is made in Section 8, the client's account will be charged for the overnight mail fee or fed fund wire fee automatically.
- The form must be signed by the Custodian on the account.

1. Client and Registered Representative Information

Client Name	Registered Representative Name and Number # _____
Account Number	Social Security Number or Tax Identification Number

2. Client Request Information

Indicate relationship and purpose of the transaction: **(required)**

Transaction Type: *(choose one)*

- Minor has reached the age of majority; transfer all assets from the custodial account to the former minor's individual brokerage account.
- Process a third party check from the account.
- Process a FULL journal from the delivering account to the receiving account.
Close the delivering account? Yes No
- Process a PARTIAL journal from the delivering account to the receiving account.
- Process an outgoing Federal (Fed) Fund Wire from the account.
- Set up or modify ACH instructions on the account.

3. Minor Has Reached the Age of Majority

Assets in a custodial environment, such as those held within an UTMA or UGMA account, must be transferred to the minor once the minor reaches the age of majority in their state of residence. Once the Registered Representative has established the new individual account for the former minor, this form may be used to transfer all assets from the Custodial account to the new individual account. The Custodial account will then be closed.

Note: some states have different ages of majority for UTMA and UGMA accounts. Please review the Advisor Portal for more information on age of custodianship termination.

Delivering Account Number	Receiving Account Number
Delivering Account Registration	Receiving Account Registration

- Transfer all assets from the delivering Custodial account to the new individual account, and then close the Custodial account.

The following documentation is attached to this request, providing proof of age for the minor: **(required)**

- Birth Certificate Driver's License Passport Other: _____

4. Check Request Information

Dollar Amount of Check \$ _____	Delivery Information <input type="checkbox"/> Send via regular mail <input type="checkbox"/> Send via overnight <i>(fee will apply)</i> Signature Required? <input type="checkbox"/> YES <input type="checkbox"/> NO
Make Check Payable To	For the Benefit Of FBO
Street Address	City, State, and ZIP Code
Special Instructions, such as a Reference Account or Contract Number <i>(if applicable)</i>	

